

DBA Brasil 2023

Database Patching Internals

Let's read the code

Diamante



Platina



DISCOVER

Ouro



VERTICA
by opentext™

Prata

TRACES



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Blog about Databases, Security and High Availability

Roy Swonger



Daniel Overby Hansen



William Beauregard



Mike Dietrich



Rodrigo Jorge



NEW Episode 1

Release and Patching Strategy

105 minutes – Feb 4, 2021



NEW Episode 2

AutoUpgrade to Oracle Database 19c

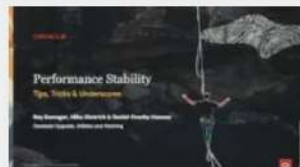
115 minutes – Feb 20, 2021



NEW Episode 3

Performance Stability, Tips and Tricks and Underscores

120 minutes – Mar 4, 2021



NEW Episode 4

Migration to Oracle Multitenant

120 minutes – Mar 16, 2021



NEW Seminar 5

Migration Strategies – Insights, Tips and Secrets

120 minutes – Mar 25, 2021



NEW Seminar 6

Move to the Cloud – Not only for techies

115 minutes – Apr 8, 2021



NEW Episode 7

Cool Features – Not only for DBAs

110 minutes – Jan 14, 2021



NEW Episode 8

Database Upgrade Internals – and so much more



Recorded Web Seminars

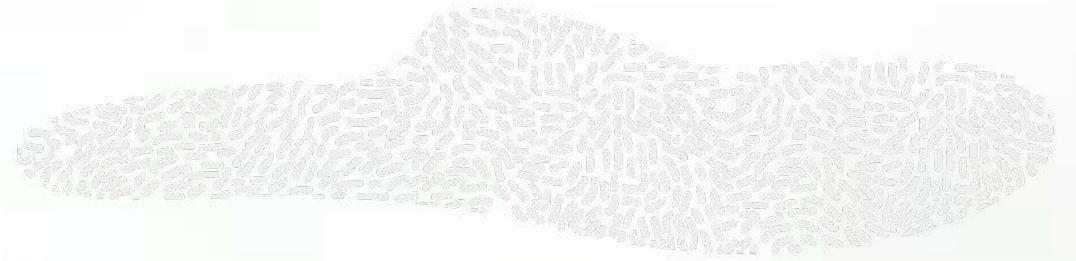
<https://MikeDietrichDE.com/videos/>

<https://dohdatabase.com/webinars/>

<https://dbarj.com.br/webinars/>



Warm-Up Overview



Basic Facts | Patch versus Upgrade



▶ PATCH



opatch
datapatch

▶ UPGRADE

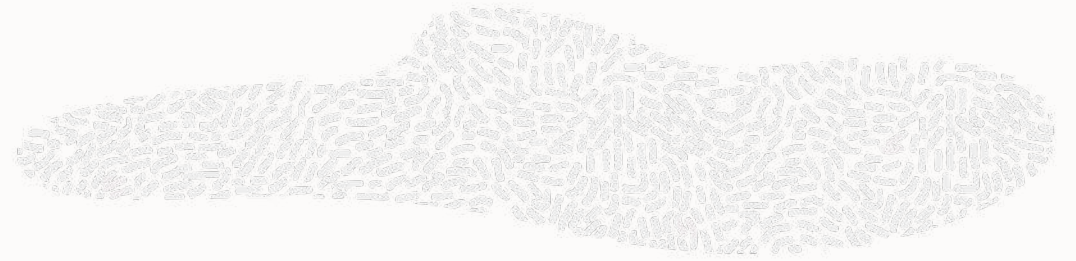


autoupgrade.jar

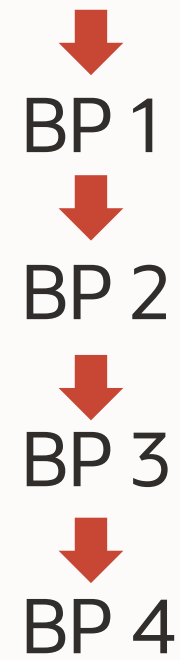
Overview

Patch Set Updates, Bundle Patches,
Release Updates, Release Update Revisions

Patching | Before Oracle Database 12.2



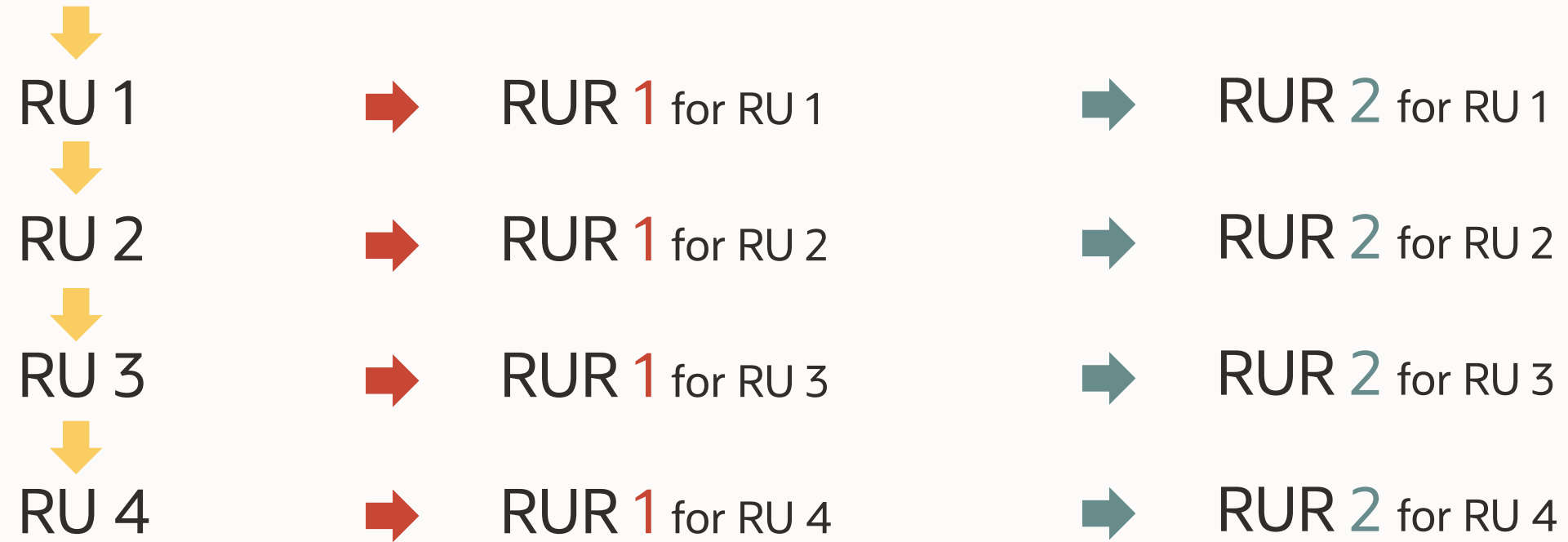
Base Release



Patching | **Since** Oracle Database 12.2



Base Release



Patching | **From** Oracle Database 19.17.0 (Oct 2022)



Base Release



Monthly Recommended Patches | Timeline

	2022						2023							
	October	November	December	January	February	March	April	May	June	July	August	September	October	November
19.17.0	19.17.0	MRP1	MRP2	MRP3	MRP4	MRP5	MRP6							
19.18.0				19.18.0	MRP1	MRP2	MRP3	MRP4	MRP5	MRP6				
19.19.0							19.19.0	MRP1	MRP2	MRP3	MRP4	MRP5	MRP6	
19.20.0										19.20.0	MRP1	MRP2	MRP3	MRP4
19.21.0													19.21.0	MRP1



Timeline | Release Updates



	2020				2021				2022				2023		
	January	April	July	October	January	April	July	October	January	April	July	October	January	April	July
19c	19.6.0	19.9.0	19.8.0	19.9.0	19.10.0	19.11.0	19.12.0	19.13.0	19.14.0	19.15.0	19.16.0	19.17.0	19.18.0	19.19.0	19.20.0
21c						21.3.0	21.4.0	21.5.0	21.6.0	21.7.0	21.8.0	21.9.0	21.10.0	21.11.0	21.12.0

Patching Strategies



Patching Basics

Patching Internals

Basic Facts | What Is In A Patch

FILES

New or changed executables, libs or files

```
bin/oracle  
bin/srvctl  
oracore/zoneinfo/timezone_34.dat
```

Apply and rollback scripts

```
sqlpatch/.../nnn_apply.sql  
sqlpatch/.../nnn_rollback.sql
```

SQL PL/SQL

New or changed objects

```
alter table sys.tab$ ...  
create index sys.i_tab1 ...  
create or replace package sys.dbms_scheduler ...
```


Basic Facts | How To Apply A Patch

`$ORACLE_HOME/OPatch`

```
[oracle@hol ~]$ cd $ORACLE_HOME/OPatch
[oracle@hol OPatch]$ ls -l
total 164
drwxr-x---. 6 oracle dba    68 Apr 22  2020 auto
drwxr-x---. 2 oracle dba    31 Apr 22  2020 config
-rwxr-x---. 1 oracle dba   589 Apr 22  2020 datapatch
-rwxr-x---. 1 oracle dba   627 Apr 22  2020 datapatch.bat
drwxr-x---. 2 oracle dba    90 Apr 22  2020 docs
-rwxr-x---. 1 oracle dba 23550 Apr 22  2020 emdpatch.pl
drwxr-x---. 2 oracle dba  4096 Apr 22  2020 jlib
drwxr-x---. 5 oracle dba  4096 Mar 26  2020 jre
drwxr-x---. 9 oracle dba  4096 Apr 22  2020 modules
drwxr-x---. 5 oracle dba    58 Apr 22  2020 ocm
-rwxr-x---. 1 oracle dba 49462 Apr 22  2020 opatch
-rwxr-x---. 1 oracle dba   1442 Apr 22  2020 opatchauto
-rwxr-x---. 1 oracle dba    393 Apr 22  2020 opatchauto.cmd
-rwxr-x---. 1 oracle dba 16412 Apr 22  2020 opatch.bat
-rwxr-x---. 1 oracle dba   4290 Apr 22  2020 opatch_env.sh
-rw-r-----. 1 oracle dba   2551 Apr 22  2020 opatch.pl
drwxr-x---. 4 oracle dba    62 Apr 22  2020 opatchprereqs
-rwxr-x---. 1 oracle dba   3159 Apr 22  2020 operr
-rwxr-x---. 1 oracle dba   4218 Apr 22  2020 operr.bat
-rw-r-----. 1 oracle dba   3177 Apr 22  2020 operr_readme.txt
drwxr-x---. 2 oracle dba    19 Apr 22  2020 oplan
drwxr-x---. 3 oracle dba    21 Apr 22  2020 oracle_common
drwxr-x---. 3 oracle dba    24 Apr 22  2020 plugins
-rw-r-----. 1 oracle dba   2980 Apr 22  2020 README.txt
drwxr-x---. 2 oracle dba  4096 Apr 22  2020 scripts
-rw-r-----. 1 oracle dba    27 Apr 22  2020 version.txt
```



Basic Facts | How To Apply A Patch

`opatch`

Applies binaries to an
Oracle Home

All instances are **down**

`datapatch`

Applies SQL and PL/SQL
changes to a **database**

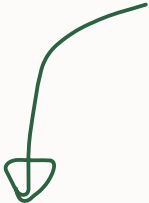
Database is **up**
(normal, not upgrade)

Pro tip: Use `opatchauto` on
RAC environments



Patching | In-Place

Oracle Home, 19.17.0



```
[oracle@rac1 ~]$ cd ORACLE_HOME
[oracle@rac1 1917]$ ls -l
total 132
drwxr-xr-x. 2 oracle dba 102 Apr 27 2019 admin
drwxr-xr-x. 8 oracle dba 4096 Apr 17 2019 base
drwxr-xr-x. 9 oracle dba 92 Apr 17 2019 assistants
drwxr-xr-x. 2 oracle dba 832 Oct 21 11:08 bin
drwxr-xr-x. 5 oracle dba 64 Oct 16 2019 #spoollogs
drwxr-xr-x. 3 oracle dba 19 Oct 16 2019 #larm
drwxr-xr-x. 4 oracle dba 87 Apr 27 2019 clone
drwxr-xr-x. 6 oracle dba 55 Apr 17 2019 crs
drwxr-xr-x. 3 oracle dba 18 Apr 17 2019 cxx
drwxr-xr-x. 11 oracle dba 4096 Apr 17 2019 etc
drwxr-xr-x. 7 oracle dba 72 Apr 17 2019 ev
drwxr-xr-x. 3 oracle dba 28 Apr 17 2019 data
drwxr-xr-x. 3 oracle dba 18 Apr 17 2019 #dupes
drwxr-xr-x. 2 oracle dba 4096 Feb 2 08:27 db
drwxr-xr-x. 5 oracle dba 4096 Apr 27 2019 #install
drwxr-xr-x. 3 oracle dba 28 Apr 17 2019 nmap
drwxr-xr-x. 3 oracle dba 28 Apr 17 2019 #mgmtstat
```



```
$ datapatch -verbose
```



Patching | Out-of-Place

Oracle Home, 19.16.0

```
oracle@ora1917 ~ % ls -l $ORACLE_HOME
total 132
drwxr-xr-x 2 oracle dba 102 Apr 27 2019 admin
drwxr-xr-x 8 oracle dba 4096 Apr 17 2019 apps
drwxr-xr-x 9 oracle dba 92 Apr 17 2019 assistants
drwxr-xr-x 2 oracle dba 8192 Oct 21 11:49 bin
drwxr-xr-x 5 oracle dba 44 Oct 16 2019 datapatch
drwxr-xr-x 3 oracle dba 19 Oct 16 2019 clatools
drwxr-xr-x 4 oracle dba 87 Apr 27 2019 cloudb
drwxr-xr-x 6 oracle dba 55 Apr 17 2019 crs
drwxr-xr-x 2 oracle dba 19 Apr 17 2019 cxx
drwxr-xr-x 11 oracle dba 4096 Apr 17 2019 cxml
drwxr-xr-x 7 oracle dba 75 Apr 17 2019 cv
drwxr-xr-x 3 oracle dba 29 Apr 17 2019 data
drwxr-xr-x 3 oracle dba 19 Apr 17 2019 dcp
drwxr-xr-x 2 oracle dba 4096 Feb 2 08:27 dds
drwxr-xr-x 5 oracle dba 4096 Apr 27 2019 dbassist
drwxr-xr-x 3 oracle dba 29 Apr 17 2019 demo
drwxr-xr-x 3 oracle dba 29 Apr 17 2019 diagnostics
```



```
SQL> SHUTDOWN IMMEDIATE
```

```
oracle@ora1917 ~ % ls -l $ORACLE_HOME
total 132
drwxr-xr-x 2 oracle dba 102 Apr 27 2019 admin
drwxr-xr-x 8 oracle dba 4096 Apr 17 2019 apps
drwxr-xr-x 9 oracle dba 92 Apr 17 2019 assistants
drwxr-xr-x 2 oracle dba 8192 Oct 21 11:49 bin
drwxr-xr-x 5 oracle dba 44 Oct 16 2019 datapatch
drwxr-xr-x 3 oracle dba 19 Oct 16 2019 clatools
drwxr-xr-x 4 oracle dba 87 Apr 27 2019 cloudb
drwxr-xr-x 6 oracle dba 55 Apr 17 2019 crs
drwxr-xr-x 2 oracle dba 19 Apr 17 2019 cxx
drwxr-xr-x 11 oracle dba 4096 Apr 17 2019 cxml
drwxr-xr-x 7 oracle dba 75 Apr 17 2019 cv
drwxr-xr-x 3 oracle dba 29 Apr 17 2019 data
drwxr-xr-x 3 oracle dba 19 Apr 17 2019 dcp
drwxr-xr-x 2 oracle dba 4096 Feb 2 08:27 dds
drwxr-xr-x 5 oracle dba 4096 Apr 27 2019 dbassist
drwxr-xr-x 3 oracle dba 29 Apr 17 2019 demo
drwxr-xr-x 3 oracle dba 29 Apr 17 2019 diagnostics
```



```
$ datapatch -verbose
```

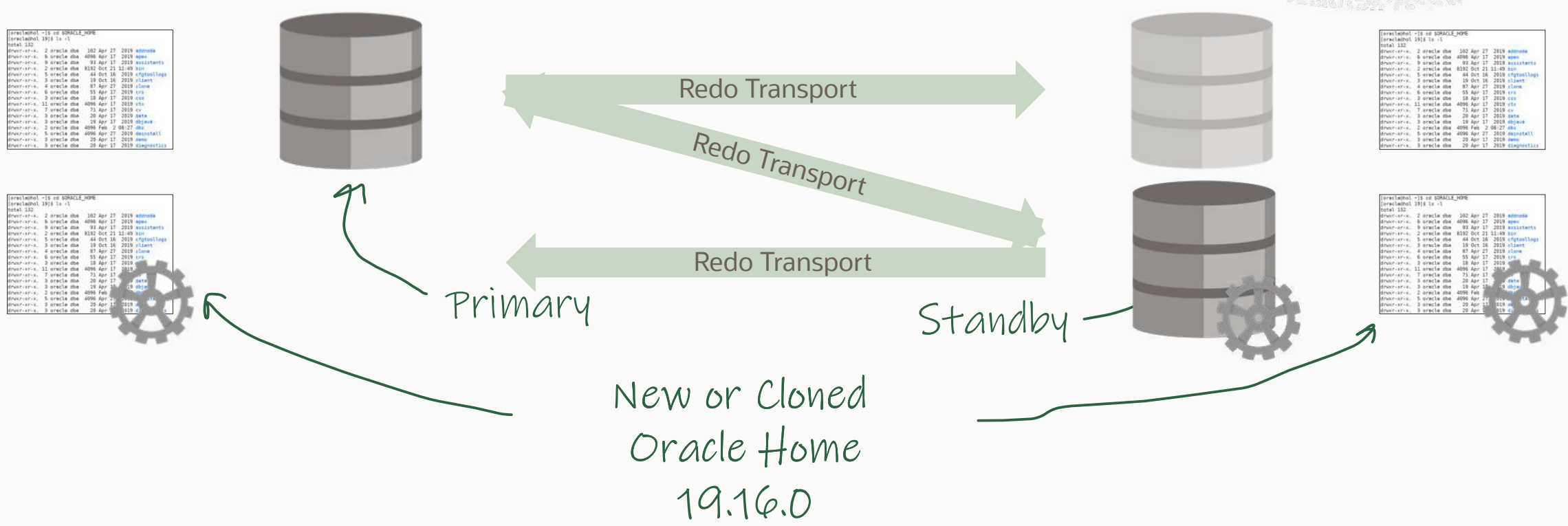


Near identical to Home 19.17.0



Always patch out-of-place

Recommendation | Standby-First Patch Apply



```
D [oracle]$ $ORACLE_HOME/OPatch/datapatch -verbose
```



Recommendation | Standby-First Patch Apply

★ Oracle Patch Assurance - Data Guard Standby-First Patch Apply (Doc ID 1265700.1)

In this Document

[Purpose](#)

[Overview](#)

[Data Guard Standby-First Patch Apply](#)

[Scope](#)

[Applies To](#)

[Details](#)

[Considerations, Recommendations, and Restrictions](#)

[Steps to Perform Data Guard Standby-First Patch Apply](#)

[Phase 1: Perform Patch Binary Installation on Standby Only](#)

[Phase 2: Evaluate Patch on Standby Database](#)

[Phase 3: Complete Patch Installation or Rollback](#)

[Phase 3 Option 1: Apply Patch to Primary Database](#)

[Phase 3 Option 2: Data Guard Switchover and Apply Patch to New Physical Standby](#)

[Oracle Patch Assurance - Data Guard Standby-First Patch Apply \(Doc ID 1265700.1\)](#)

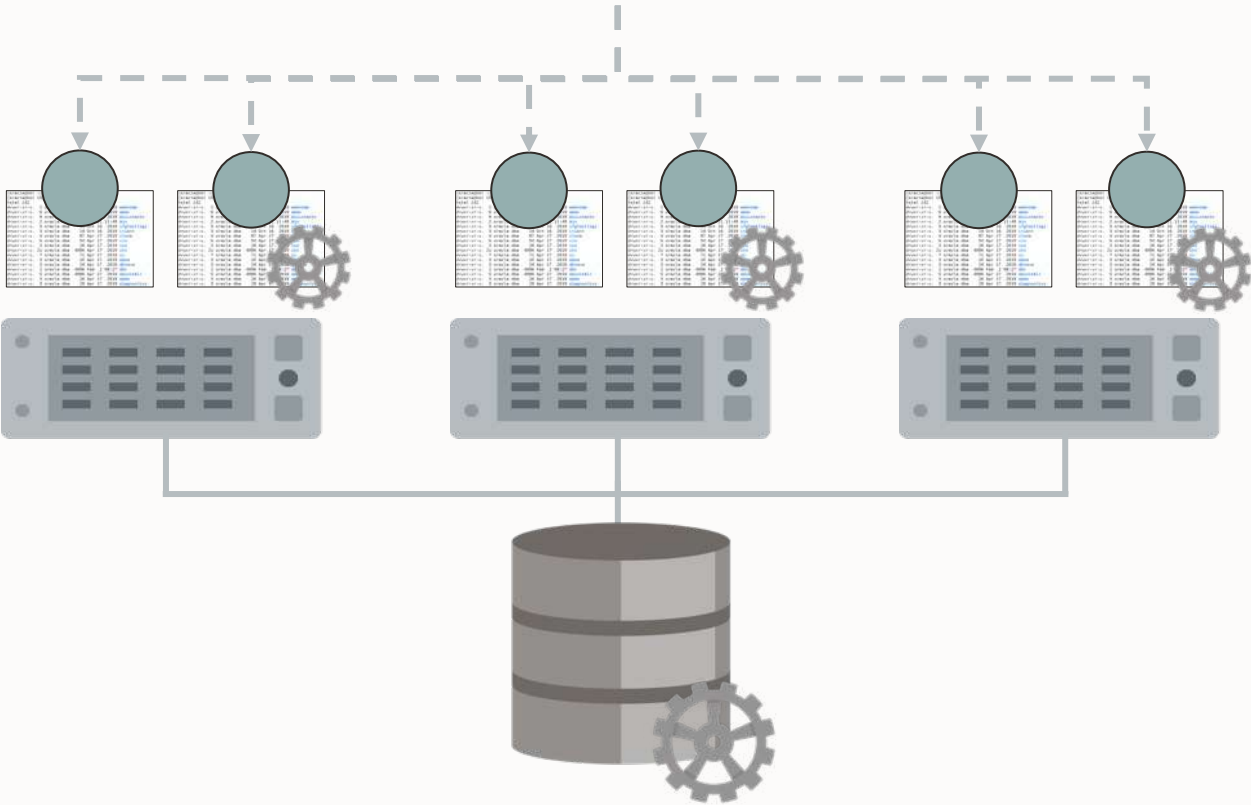
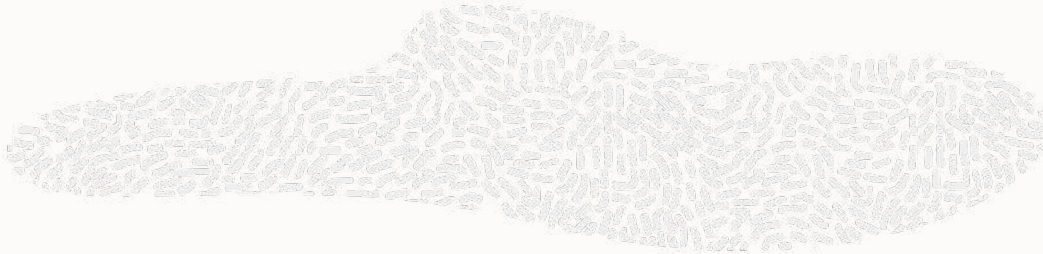


PRO-TIP – HOW TO SPEED UP PATCHING

Avoid downtime with RAC Rolling Patching



Recommendation | RAC Rolling Patching



```
$ $ORACLE_HOME/OPatch/datapatch -verbose
```

Release updates are **always**:



Standby-First installable



RAC Rolling installable

Patching Strategies

Patching Basics

Patching Internals

Types of Patches

Binary	SQL
Contain changes to C files (.c, .h)	Contain changes to SQL files (.sql, .pls, .pkh, etc.)
Are installed into an oracle home with opatch	Are installed into a database using datapatch
The patch .zip contains .o and/or .a files and executables are relinked with the changed objects	The patch .zip contains SQL files which need to be loaded into the database after binary patching is complete

A given patch can contain binary content, SQL content, or both



Binary Patch | **Installation**

- Patch .zip is downloaded from MOS
- Installation is done using opatch
 - Instances(s) must be shut down first
 - Executables are relinked
- Located at `$ORACLE_HOME/OPatch/opatch`

SQL Patch | **Installation**

- SQL files are laid down into the ORACLE_HOME by opatch first
- Installation is done using datapatch
 - Database must be up before invoking datapatch
 - Each invocation of datapatch will process one database in the ORACLE_HOME
 - All open PDBs, the root, and PDB\$SEED will be patched
- Located at \$ORACLE_HOME/OPatch/datapatch

Datapatch | Overview

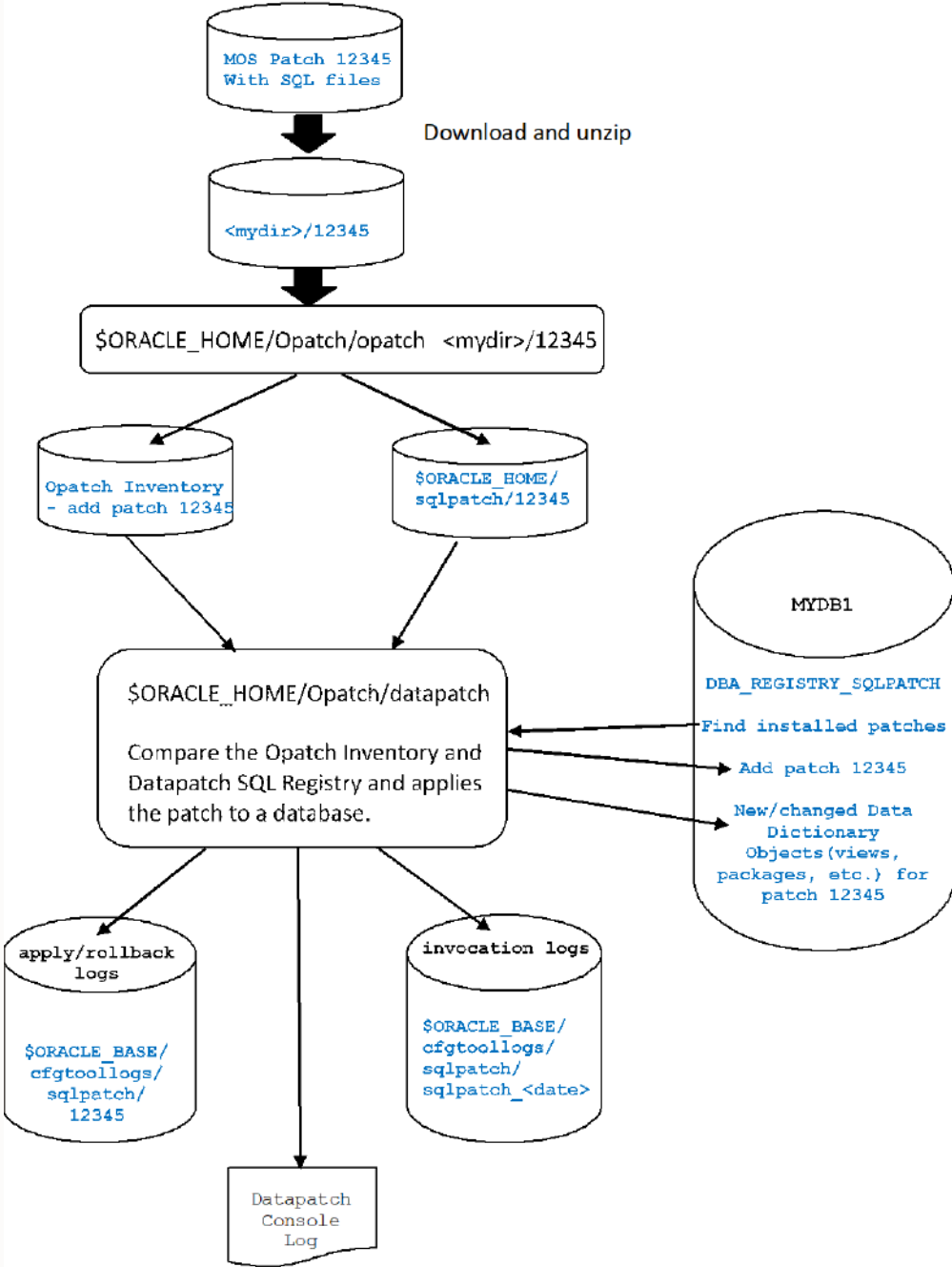
- Introduced with Oracle 12.1
- Consists of:
 - Perl scripts and libraries (outside the Database)
 - SQL scripts and PL/SQL packages (inside the Database)

ORACLE

Datapatch Public Documentation

Datapatch | Public Documentation

[Datapatch User Guide \(Doc ID 2680521.1\)](#)



ORACLE

Datapatch Internal Documentation

Datapatch | Call Flow

`$ORACLE_HOME/OPatch/datapatch`



`$ORACLE_HOME/sqlpatch/sqlpatch`



`$ORACLE_HOME/sqlpatch/sqlpatch.pl`

`$ORACLE_HOME/sqlpatch/sqlpatch.pm`



Datapatch | **Sequence**

1. sqlpatch::initialize
2. sqlpatch::patch
3. sqlpatch::finalize



Datapatch | Directories

`$ORACLE_HOME/sqlpatch/28655784/<unique ID>/`

`28655784_apply.sql`

`28655784_rollback.sql`

`28655784.xml`

`28655784.zip`

`rollback_files` (a directory with subdirectories for original .sql files)

Datapatch | Registry

Binary Registry	SQL Registry
Maintained by opatch	Maintained by datapatch



Datapatch | Registry

Binary Registry	SQL Registry
Maintained by opatch	Maintained by datapatch
Binary data structure in the ORACLE_HOME	Database table <code>registry\$sqlpatch</code> and view <code>dba_registry_sqlpatch</code>



Datapatch | Registry

Binary Registry	SQL Registry
Maintained by opatch	Maintained by datapatch
Binary data structure in the ORACLE_HOME	Database table <code>registry\$sqlpatch</code> and view <code>dba_registry_sqlpatch</code>
If a patch is installed in the ORACLE_HOME then it exists in the registry; if a patch is rolled back it is removed from the registry	Each row in the registry represents a patch apply or rollback



Datapatch | Registry

Binary Registry	SQL Registry
Maintained by opatch	Maintained by datapatch
Binary data structure in the ORACLE_HOME	Database table <code>registry\$sqlpatch</code> and view <code>dba_registry_sqlpatch</code>
If a patch is installed in the ORACLE_HOME then it exists in the registry; if a patch is rolled back it is removed from the registry	Each row in the registry represents a patch apply or rollback
opatch lsinventory returns the contents of the binary registry	Querying the table returns the contents of the SQL registry



Datapatch | Registry

Binary Registry	SQL Registry
Maintained by opatch	Maintained by datapatch
Binary data structure in the ORACLE_HOME	Database table <code>registry\$sqlpatch</code> and view <code>dba_registry_sqlpatch</code>
If a patch is installed in the ORACLE_HOME then it exists in the registry; if a patch is rolled back it is removed from the registry	Each row in the registry represents a patch apply or rollback
opatch lsinventory returns the contents of the binary registry	Querying the table returns the contents of the SQL registry
Single registry for an ORACLE_HOME, and thus all databases in that ORACLE_HOME are at the same binary state	Each PDB contains its own SQL registry



Datapatch | Registry Comparison

Patch Present in Binary Registry	Patch Present in SQL Registry	Datapatch Action
YES	NO	Patch needs to be applied
NO	YES	Patch needs to be rolled back
YES	YES	No action needed for this patch

A patch must be consistent in binary across all nodes of a RAC installation



Datapatch | Registry Comparison

```
$ORACLE_HOME/OPatch/datapatch -verbose
```

```
SQL Patching tool version 12.2.0.1.0 Production on Fri Jun  2 14:23:38 2017  
Copyright (c) 2012, 2017, Oracle. All rights reserved.
```

```
Log file for this invocation:
```

```
<cfgtoollogs>/sqlpatch/sqlpatch_70035_2017_06_02_14_23_38/sqlpatch_invocation.log
```

```
Connecting to database...OK
```

```
Bootstrapping registry and package to current versions...done
```

```
Determining current state...done
```

```
Current state of SQL patches:
```

```
Patch 14128996 (SQL PATCHING TEST BUG 1):
```

```
Installed in the binary registry only
```

```
Patch 14129004 (SQL PATCHING TEST BUG 2):
```

```
Installed in the binary registry only
```



Datapatch | Queryable Inventory

```
SQL> set long 1000
```

```
SQL> SELECT XMLSerialize(CONTENT dbms_sqlpatch.opatch_registry_state INDENT) FROM dual;
```

```
XMLSERIALIZE (CONTENTDBMS_SQLPATCH.OPATCH_REGISTRY_STATEINDENT)
```

```
-----  
<activityRoot>
```

```
  <p33192793>
```

```
    <patchUIId>24462514</patchUIId>
```

```
  </p33192793>
```

```
</activityRoot>
```

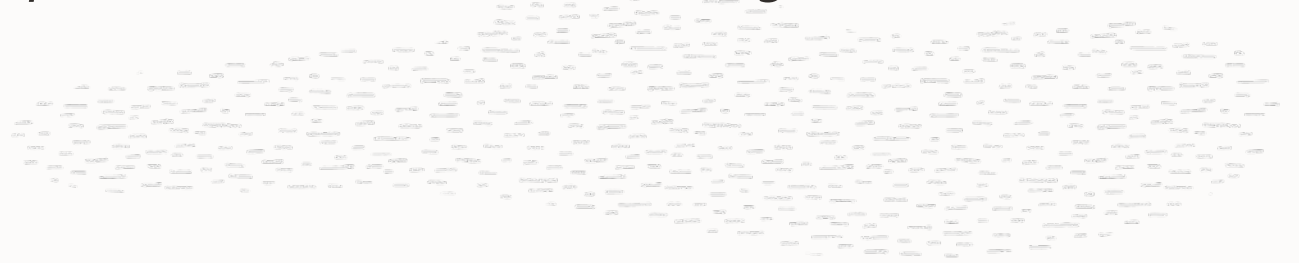


Datapatch | Queryable Inventory

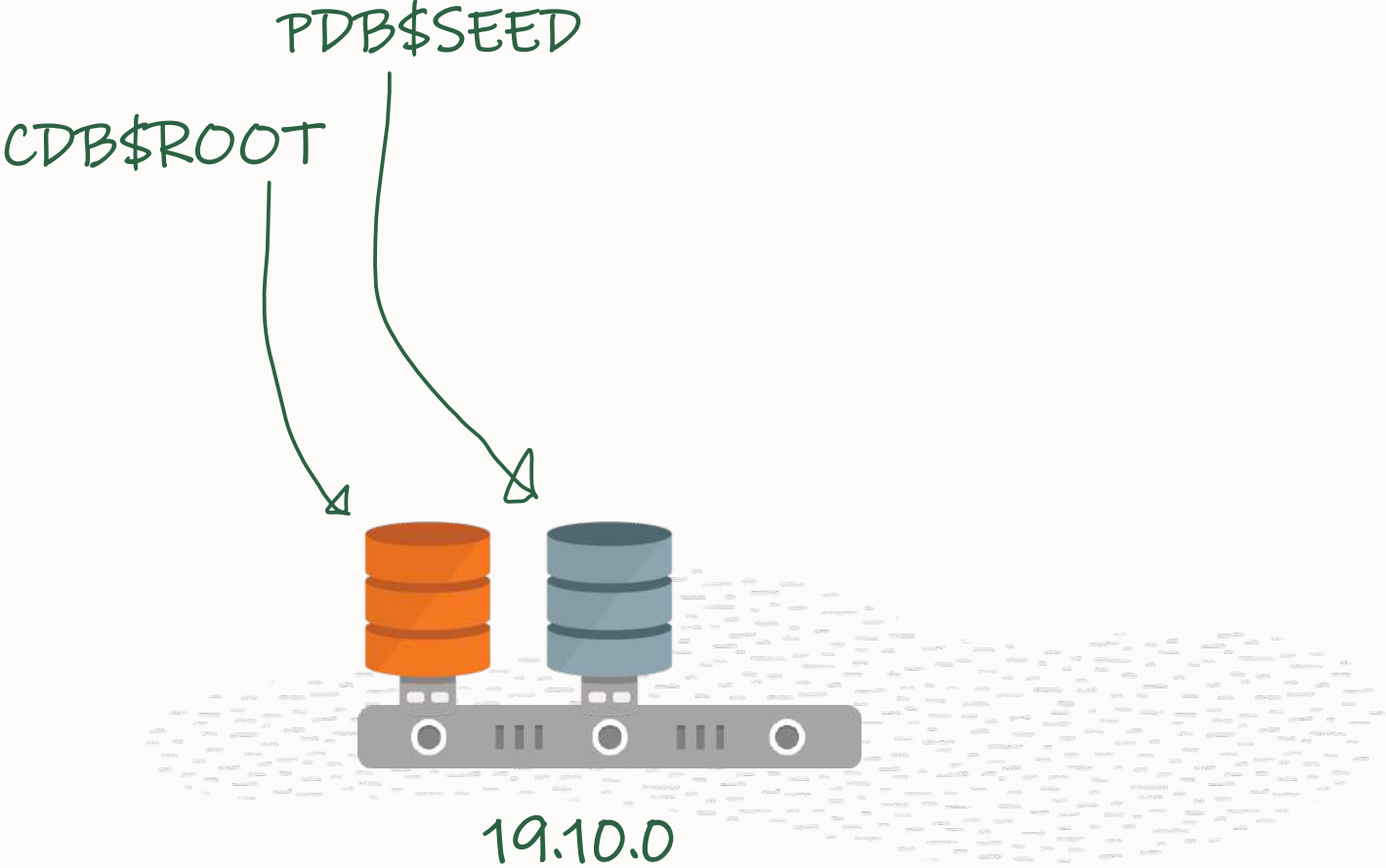
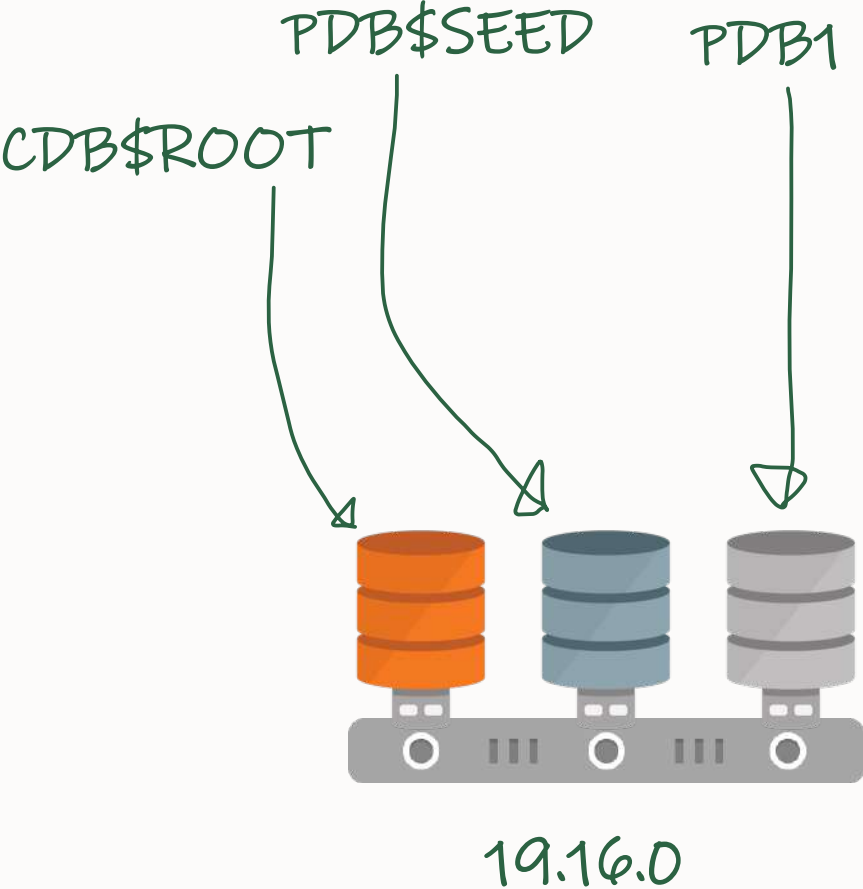
```
$ $ORACLE_HOME/OPatch/opatch lspatches  
33192793;Database Release Update : 19.13.0.0.211019 (33192793)  
29585399;OCW RELEASE UPDATE 19.3.0.0.0 (29585399)
```

OPatch succeeded.

Why patch 29585399 is not showing on QI ?



Datapatch | Move another ORACLE_HOME



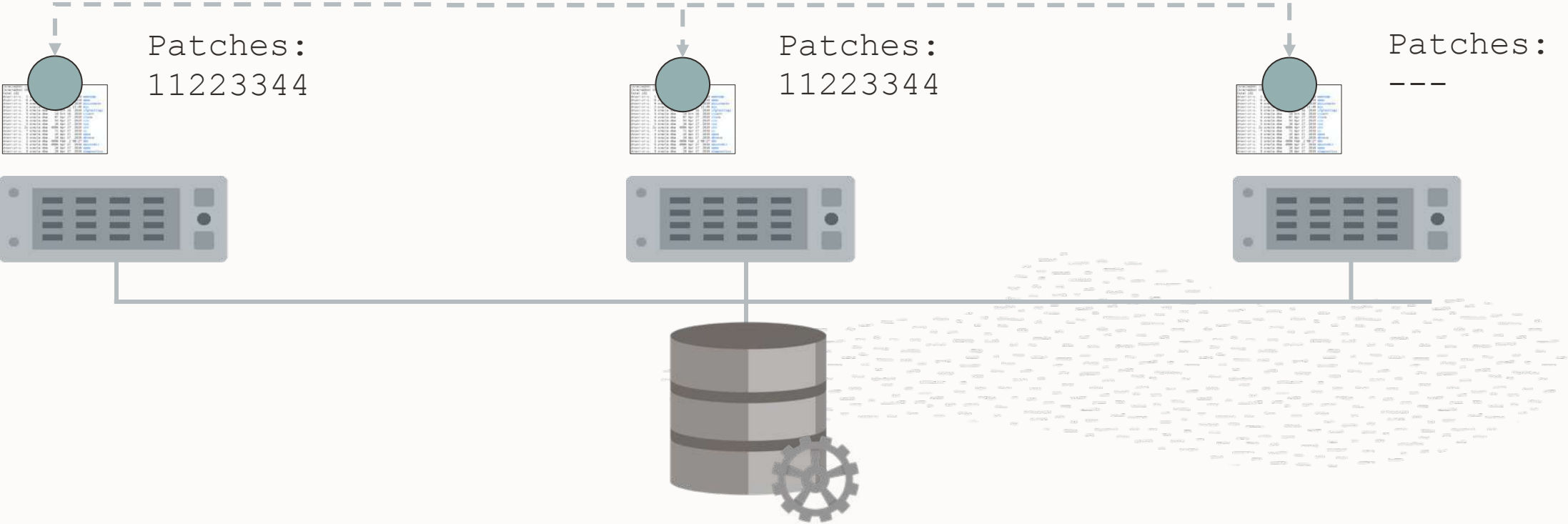
Datapatch | Move another ORACLE_HOME

- 19.10.0 Oracle Home does not contain scripts for 19.16.0 rollback.
- Before 12.2, you had to move sqlpatch folders manually.
- After 12.2, this is automatically handled by datapatch.
 - REGISTRY\$SQLPATCH.PATCH_DIRECTORY (BLOB)

Datapatch | Partial Installed Patches



```
$ $ORACLE_HOME/OPatch/datapatch -verbose
```



Performance Improvement

Old behavior

- opatchauto invokes opatch on every node
- opatchauto then invokes datapatch on every node

Result:

Many useless datapatch calls since it needs to be executed only once the last node has been patched

New behavior

- opatchauto invokes opatch on every node
- opatchauto invokes datapatch on last node

Result:

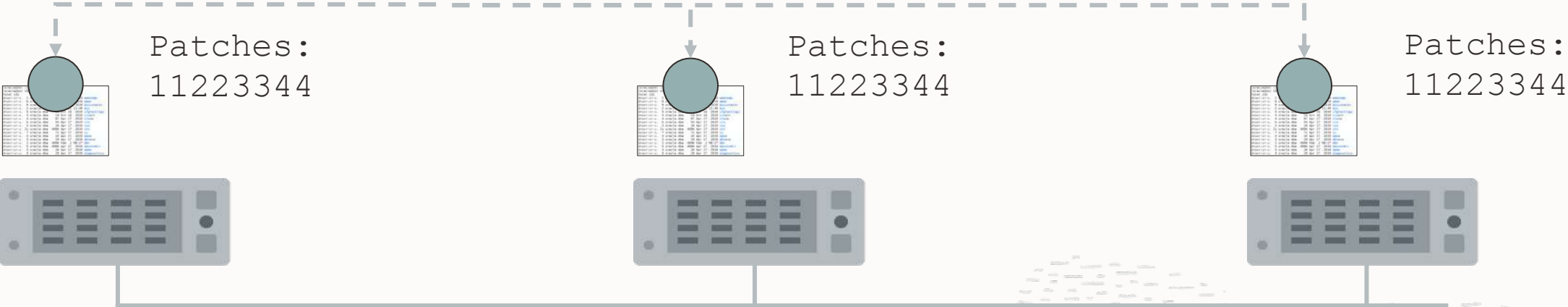
Useless datapatch calls avoided, faster patch runs. Fix is included in the current opatch



Datapatch | RAC



```
$ $ORACLE_HOME/OPatch/opatchauto
```



```
$ $ORACLE_HOME/OPatch/datapatch
```

```
$ $ORACLE_HOME/OPatch/datapatch
```

```
$ $ORACLE_HOME/OPatch/datapatch
```

Patches:
11223344

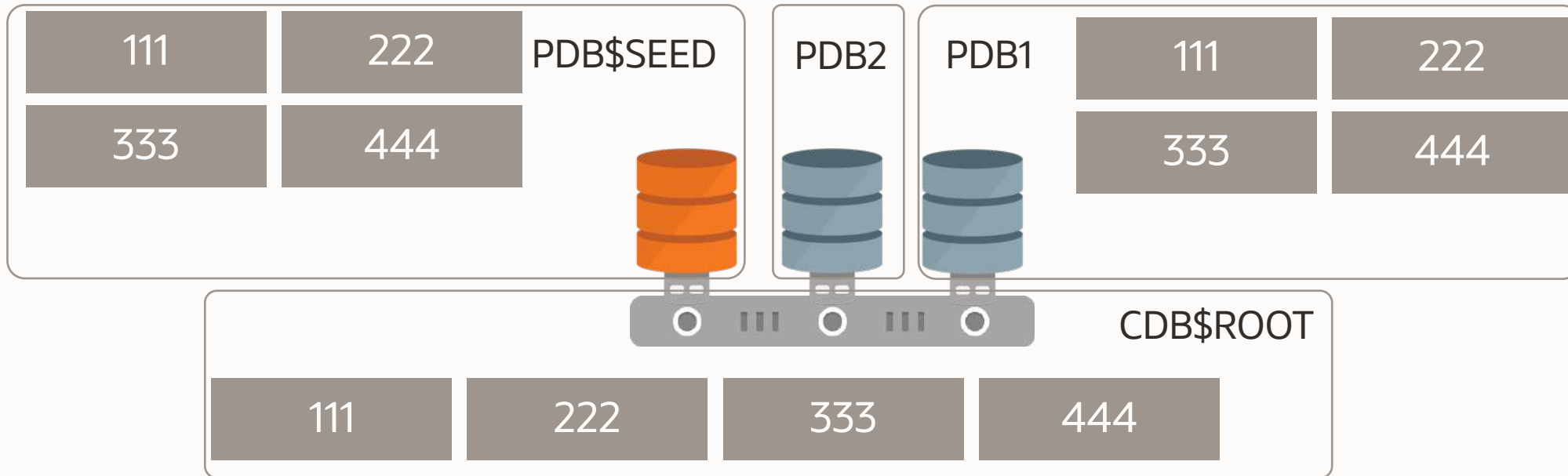


Datapatch | Multitenant

- Each PDB has its own registry.
 - REGISTRY\$ tables.
- Catcon.pl will consolidate the apply.sql calls.



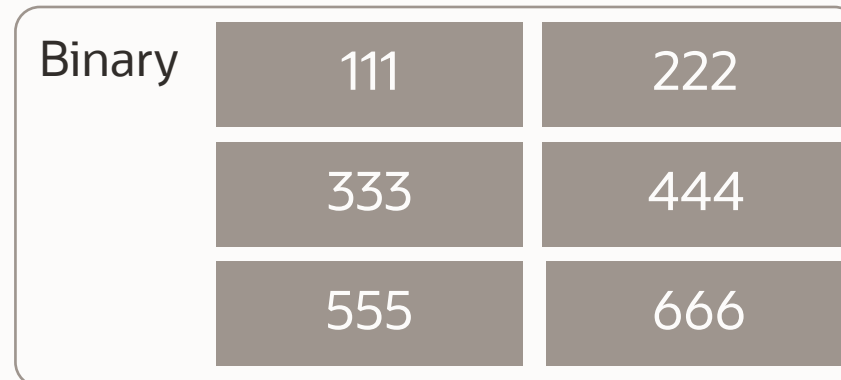
Datapatch | Multitenant



To Do:

```
$ $ORACLE_HOME/OPatch/datapatch
```

- Apply 555 and 666 on CDB\$ROOT
- Apply 555 and 666 on PDB\$SEED
- Apply 555 and 666 on PDB1
- Apply All on PDB2



Datapatch | Multitenant

To Do:

- Apply 555 and 666 on CDB\$ROOT
- Apply 555 and 666 on PDB\$SEED
- Apply 555 and 666 on PDB1
- Apply All on PDB2

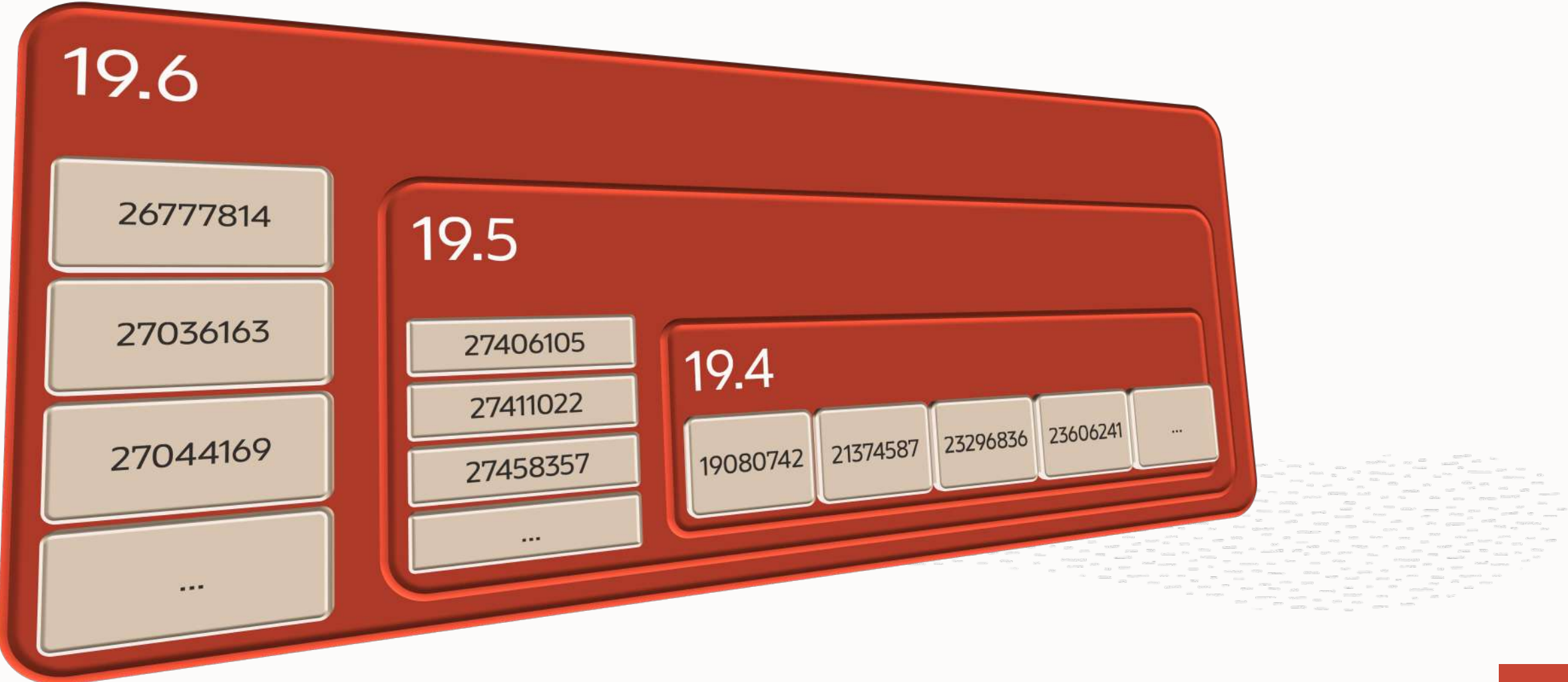
```
$ORACLE_HOME/perl/bin/perl catcon.pl ... \  
sqlpatch/555/555_apply.sql \  
sqlpatch/666/666_apply.sql
```

```
$ORACLE_HOME/perl/bin/perl catcon.pl -c 'PDB2' ... \  
sqlpatch/111/111_apply.sql \  
sqlpatch/222/222_apply.sql \  
sqlpatch/333/333_apply.sql \  
sqlpatch/444/444_apply.sql
```

[MOS Note: 1932340.1 - How to execute sql scripts in Multitenant environment \(catcon.pl\)](#)



Datapatch | Bundle Patches Delta



Datapatch | Patch Queue

Java
Patches

Bundle
Patches

One-offs

Datapatch | Patch Rollback and Apply Queue

Oracle Home after opatch:

Patch 444 – Java Patch

Patch 555 – Bundle Patch

Patch 666 – One-off Patch

Database registry before datapatch:

Patch 111 – Java Patch

Patch 222 – Bundle Patch

Patch 333 – One-off Patch

```
$ ./datapatch
```

datapatch queue

Rollback:

Apply:

Rollback:

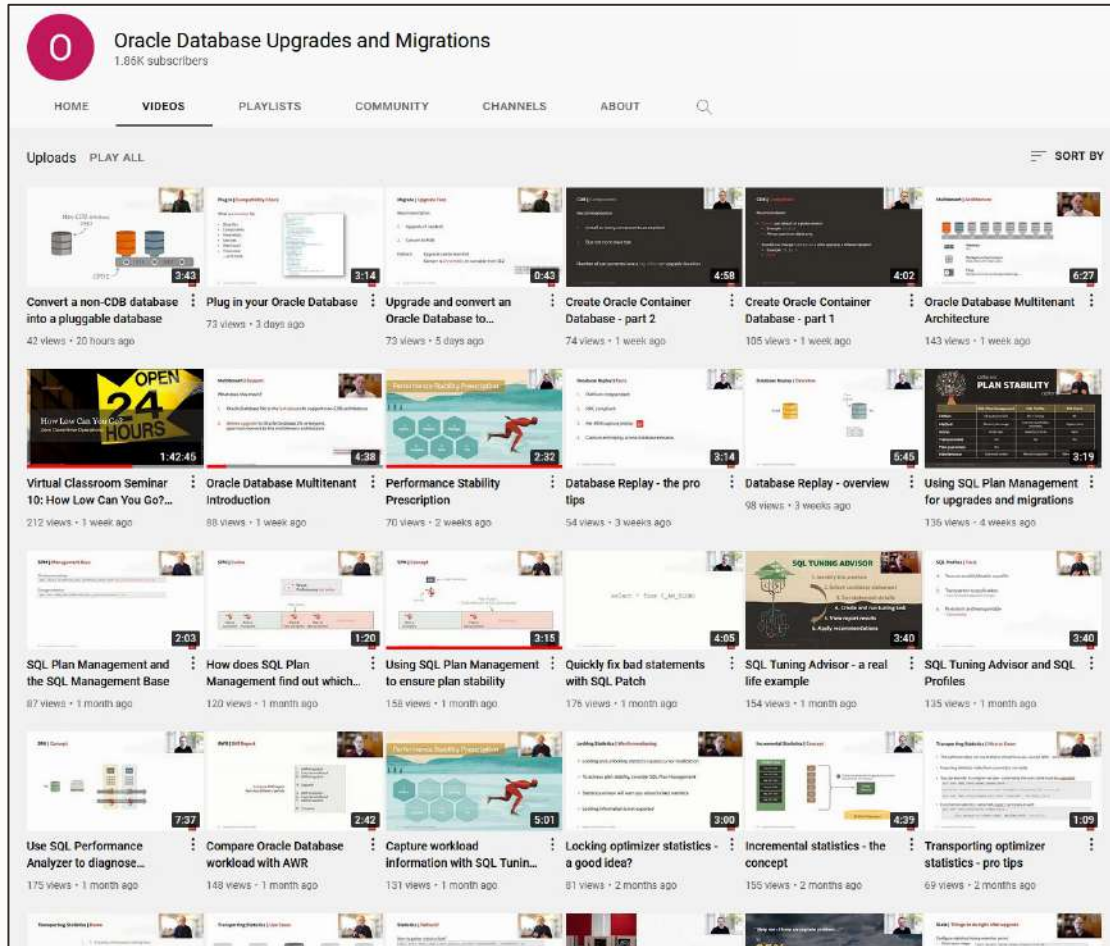
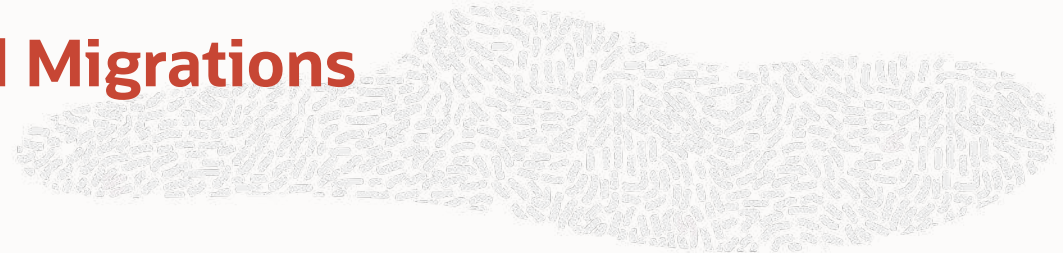
Cumulative:

Patch 222 to 555 – Bundle Patch

Apply:



YouTube | Oracle Database Upgrades and Migrations



[Link](#)

- 200+ videos
- New videos every week
- No marketing
- No buzzwords
- All tech



THANK YOU



Visit our blogs:

<https://MikeDietrichDE.com>

<https://DOHdatabase.com>

<https://dbarj.com.br>